

## Accredited Investor Verification Steps

**If you're an individual accredited investor considering investment in SFI Fund, a Reg D 506c private placement, you must acquire your electronic certificate of verification. SFI Fund has partnered with EarlyIQ, a 3<sup>rd</sup> party verification service provider, to assist your investment.**

<http://www.sec.gov/info/smallbus/secg/general-solicitation-small-entity-compliance-guide.htm>

- 1) You have reviewed the PPM and have had all your questions answered.
- 2) You have filled out the Subscription Agreement.
- 3) You are ready to get verified as an Accredited Investor as per SEC rules and regulations.

Be advised that Issuers of publicly marketed, private securities such as SFI Fund must take "reasonable steps" to verify that all investors in their company qualify as Accredited.

### **These reasonable steps can include:**

Verification based on **net worth**

Verification based on **income**

Verification of a letter from a CPA, attorney, or broker

**To make the process efficient, private and secure, we are using a reputable 3rd party company, EarlyIQ, to verify you as an Accredited Investor. After reading the instructions and collecting the supporting documentation you will click the link below and go through the safe, simple process.**

First EarlyIQ will confirm your identity and then request further information dependent upon the method for qualification you selected. You will upload using the online platform and provide EarlyIQ with supporting documentation that shows sufficient evidence for the chosen method: **net worth or income.**

***For Net Worth qualification***, investors will need to provide current copies of one or more of the follow documents as supporting evidence:

- 1) Brokerage, bank, or other current asset statements which in combination will show qualifying Net Worth, or
- 2) Letter from your attorney or CPA stating your qualification as Accredited.

There is **no need for you to upload or document all of your liabilities**. We'll automatically pull your credit report (using what's known as a "soft pull" meaning our review will not impact your credit score). You only need to report any liabilities not contained in the credit report.

And there is **no need to document all of your assets**, simply provide enough documentation to support your qualification (e.g. current Net Worth of \$1 million not including your primary residence).

***For Annual Income qualification***, in addition to attesting to expectation of making qualifying income in the current calendar year, investors will need to provide copies of one or more of the following documents as supporting evidence:

- 1) Tax returns, W2's, or other government filings that support your income qualification for the past 2 years or;
- 2) Letter from your attorney or CPA stating your qualification as Accredited or;
- 3) For investors who would prefer to not upload multiple documents, **EarlyIQ also provides an automated interface with the IRS. To us this, simply upload Form 4506T** - Request for Tax Transcript - and we'll automatically receive investor income information directly from the IRS.

Once you have decided how you would like to be qualified and you have the necessary documentation you can click the link below to START the VERIFICATION process and finalize your subscription.

[Click here to go to EarlyIQ and start the process for verification.](#)